

PATENT

(Practitioner's Docket No. IN- 5546)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Klaus ARLT et al.

Serial No.: This application is a National
Phase of Patent Application
PCT/EP00/08298 filed 25. August 2000.

Group Art Unit: Not Assigned

Filed: February 7, 2002

Examiner: Not Assigned

For: INTEGRATED COATING METHOD FOR AUTO BODY PARTS CONTAINING PLASTIC PARTS
OR FOR CABINS OF PASSENGER CARS AND UTILITY VEHICLES AS WELL AS FOR THEIR
REPLACEMENT PARTS AND ADD-ON PARTS

Assistant Commissioner of Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Applicant(s) submit(s) herewith patents, publications, or other information, of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose in accordance with 37 C.F.R. §1.56.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made (37 C.F.R. 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists. The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner.

☒ Submitted herewith is Form PTO-1449 (Modified) listing patents, publications, or other information for consideration by the Office.

COPIES PROVIDED:

☒ Legible copies of all items listed on Form PTO-1449 accompany this information statement, except as indicated below:

☐ Under 35 U.S.C. §120, this application relies on the earlier filing date of prior application Serial No. [], filed on []. The following references were submitted to and/or cited by the Office on this prior application and are therefore not required to be provided in this application:

- ☐ In accordance with 37 C.F.R. §1.98(c), a copy of only [] is being submitted with this Information Disclosure Statement, and is cumulative of the following patents or publications listed on Form PTO-1449:

FOREIGN LANGUAGE DOCUMENTS

- ☐ There are no foreign language documents listed on Form PTO-1449.
- ☐ Submitted herewith is an English translation of the following foreign language patents, publications or information or of portions of those patents, publications or information considered to be material:
- ☐ No English language translations of the foreign language patents, publications or information or parts thereof are readily available, except for those listed above.

☒ x

The following foreign language documents submitted are believed to be the equivalent or substantial equivalent of the English language documents identified below, which are also submitted herewith:

Patent	English Eq.		
EP082291	4,458,054	EP708788	PCT/EP94/01956 Filed June 16, 1993
EP089497	4,489,135	EP596460	CA2102169
EP178531	5,021,502	EP522420	CA2073115
EP227975	4,711,937	EP536712	CA2078650
EP228003	4,914,148	EP584818	CA2104845
EP234361	4,945,128	EP596461	CA2102170
EP234362	5,075,372	EP634431	CA21277613
EP234395	4,808,658	DE1618795	GB1127338
EP245786	5,109,040	EP517707	Abstract
EP256540	4,880,867	EP649865	5,571,861
EP261385	4,865,704		
EP310971	5,039,720	DE4328092	English Abstract
EP339433	5,210,154	WO9514721	English Abstract
EP397806	5,370,910	WO9612747	English Abstract
EP414199	5,101,000		
EP476514	5,264,497	EP260447	4851460
EP521928	5,334,420	EP297576	English Abstract
EP522419	5,691,425	DE3324211	English Abstract
EP523610	5,418,264		
EP543817	5,760,128		
EP531510	5,236,995		
EP574417	5,368,944		
EP581211	5,342,882		
EP593454	5,601,880		
EP649865	5,571,861		
EP669356	5,569,705		
EP678536	5,654,391		
EP730613	6,001,424		
DE4414032	5,707,941		
DE1793785	3,361,844		
DE2824418	4,172,822		
EP436941	CA2033530		

☒ x

Submitted herewith is an English language version of a search report listing information not in the English language and indicating the degree of relevancy found by the European Patent Office, in satisfaction of the requirement (under 37 C.F.R. §1.98(a)(3)) for a concise explanation of the relevance of non-English information.

☐

A concise explanation of the relevance of the following listed non-English language information is included as presently understood by the individual designated in 37 C.F.R. §1.56(c) most knowledgeable about the content of the information as set forth below:

☐

A concise explanation of the relevance of the following listed non-English language information is set forth in the above-identified specification:

Information Disclosure Statement

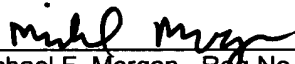
Page 4

CERTIFICATION:

- ☒ The Information Disclosure Statement transmitted herewith is being filed **within** three (3) months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office Action on the merits, whichever event occurs last. 37 C.F.R. §1.97(b). Accordingly, it is believed that no certification or fee is due.
- ☐ The Information Disclosure Statement transmitted herewith is being filed **after** three (3) months of the filing date of the application or the date of entry into the national stage of an international application or after the mailing date of the first Office Action on the merits, whichever event occurred last but **before** the mailing date of either a final action under 37 C.F.R. §1.113 or a Notice of Allowance under 37 C.F.R. §1.311, whichever occurs first. Accordingly, I hereby certify that:
- ☐ Each statement of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of the statement; 37 C.F.R. §1.97(e)(1); **OR**
- ☐ That no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge as the person signing this certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three (3) months prior to the filing of this statement; 37 C.F.R. §1.97(e)(2); **OR**
- ☐ Applicant elects the option to pay the fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under 37 C.F.R. §1.97(c). Please charge the **\$230.00** IDS submission fee to BASF Corporation **Deposit Account No. 23-3425**. A duplicate of this paper is enclosed.
- ☐ The Information Disclosure Statement transmitted herewith is being filed **After a Final Action** under 37 C.F.R. §1.113, or **After a Notice of Allowance** under 37 C.F.R. §1.311, whichever occurs first, but **Before Payment of the Issue Fee**. Accordingly, I hereby certify that:
- ☐ Each statement of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of the statement; 37 C.F.R. §1.97(e)(1); **OR**
- ☐ That no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge as the person signing this certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three (3) months prior to the filing of this statement; 37 C.F.R. §1.97(e)(2); **AND**
- ☐ Applicant hereby petitions for consideration of this Information Disclosure Statement; 37 C.F.R. §1.97(d)(2)(ii). Please charge the petition fee of **\$130.00** to BASF Corporation **Deposit Account No. 23-3425**. A duplicate of this paper is enclosed.

The Commissioner is authorized to charge BASF Corporation Deposit Account No. 23-3425 for any additional fees due hereunder.

Respectfully submitted,



Michael F. Morgan, Reg No. 42,906
BASF Corporation
26701 Telegraph Road
Southfield, Michigan 48034-2442
Tel. No.: (248) 948-2355

LIST OF ART CITED BY APPLICANT
(Use sever of sheets if necessary)

In re Application of: ARLT et al.

Serial No.: Herewith

Filed: Thursday, February 07, 2002

U.S. PATENT DOCUMENTS

Examiner initial		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	4,851,460	7/25/89	Stranghöner et al.	523	407	8/18/87
	AB	6,001,424	12/14/99	IETTMANN ET AL.	427	407.1	11/18/94
	AC	4,939,213	7/3/90	Jacobs, III et al.	525	329.9	12/19/88
	AD	4,914,148	4/3/90	Hille et al.	524	507	12/11/86
	AE	4,945,128	7/31/90	Hille et al.	524	591	82/6/87
	AF	5,342,882	8/30/94	Göbel et al.	524	832	7/22/93
	AG	5,571,861	11/5/96	Klein et al.	524	591	10/21/94
	AH	5,569,705	10/29/96	Vogt-Birnbrich et al.	524	591	2/27/95
	AI	5,084,541	1/28/92	Jacobs, III et al.	528	45	5/14/90
	AJ	5,691,425	11/25/97	Klein et al.	525	455	7/2/92
	AK	4,808,658	02/28/89	Walz ET AL.	524	591	3/29/88

FOREIGN PATENT DOCUMENTS

Examiner initial		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AL	536 712 A2	7.10.92	EP	C09D	201/02		X
	AM	WO96/12747	2/5/96	EP	C08F	285/00		X
	AN	43 28 092 A1	23.02.95	DE	C09D	175/04		X
	AO	299 148 A2	13.04.88	EP	C08G	18/08	X	
	AP	WO95/14721	1/6/95	EP	C08F	290/14		X

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AR	English Language Abstract Cover Page Of The International Publication WO96/12747
	AS	English Language Abstract DE 43 28 092 A1.
	AT	English Language Abstract Cover Page Of The International Publication WO95/14721

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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

*Applicant has modified this form by deleting the word "prior".

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(MODIFIED)US DEPARTMENT OF COMMERCE
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*	AA	5,101,000	3/31/92	Paar et al.	528	111	8/21/90
*	AB	4,711,937	12/8/87	Paar	525	489	12/17/86
*	AC	4,710,542	12/1/87	Forgione et al	525	127	05/16/86
*	AD	4,756,975	7/12/88	Fujii et al	428	461	11/8/85
*	AE	5,252,399	10/12/93	Hirata et al.	428	416	12/18/92
*	AF	5,389,406	02/14/95	Doebler et al.	427	407.1	10/14/93
*	AG	3,922,253	11/25/75	Jerabek et al.	260	77.5TB	9/24/73
*	AH	4,458,054	07/03/84	Schmözer et al.	525	327.3	11/22/82
	AI	3,361,844	1/2/68	Hoeschele et al.	260	858	12/14/64
	AJ	5,707,941	1/13/98	Häberle	528	44	4/17/95
	AK	5,210,154	5/11/93	Weidemeier et al.	525	438	4/18/89

FOREIGN PATENT DOCUMENTS

Examiner initial		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AL	297 576 A1	30.06.88	EP	C09D	3/72		X
	AM	708 788 B1	16.06.94	EP	C08G	18/08		X
	AN							
	AO	1220717	02.03.71	GB	H10f	27/00	X	
	AP	456 270 A1	13.05.91	EP	C09D	5/44	X	

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AR	English Abstract Cover Page Of The International Publication WO91/12899
	AS	B. Mayer, 08/564,304, filed 01/22/96, entitled "Process for the preparation of polyurethane resins and their use and the use of ethoxyethyl prepionate for the preparation of polyurethane resins, pages 1-38
	AT	

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	AA	4,880,867	11/14/89	Gobel et al.	524	507	8/18/87
	AB	5,334,420	8/2/94	Hartung et al.	427	407.1	3/13/91
	AC	5,760,128	6/2/98	Baltus et al.	524	591	2/27/91
	AD	4,489,135	12/18/84	Drexler et al.	428	423.1	2/18/83
	AE	5,654,391	8/5/97	Göbel et al.	528	71	4/20/95
	AF	5,075,372	12/24/91	Hille et al.	524	839	2/6/87
	AG	5,368,944	11/29/94	Hartung et al.	428	423.1	2/1/92
	AH	5,370,910	12/6/94	Hille et al.	427	407.1	7/24/89
	AI	5,021,502	6/4/91	Patzchke et al.	524	591	1/10/90
	AJ	5,039,720	8/13/91	Saatweber et al.	523	404	9/30/88
	AK	5,264,497	11/23/93	Hönig et al.	525	453	9/17/91

FOREIGN PATENT DOCUMENTS

Examiner initial		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AL	584 818 B1	26.08.93	EP	C08G	18/08		X
	AM	581 211 B1	23.07.93	EP	C08G	18/08		X
	AN	596 461 B1	03.11.93	EP	C09D	201/00		X
	AO	523 610 A1	14.07.92	EP	C09D	163/00		X
	AP	333 327 A1	16.02.89	EP	C08G	59/04	X	

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AR	English Language Abstract EP 436 941
	AS	English Language Abstract EP 260 447.
	AT	English Language Abstract 297 576.

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Examiner initial		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,109,040	4/28/92	Hönig et al.	523	411	3/21/90
	AB	4,172,822	10/30/79	Patzschke	525	424	1/2/78
	AC	4,865,704	9/12/89	Saatweber et al	204	181	8/18/87
	AD	4,449,954	4/24/84	Mels et al.	524	124	9/30/82
	AE	5,418,264	5/23/95	Obloh et al.	523	414	3/3/94
	AF	5,601,880	2/11/97	Schwarte et al	427	407.1	8/5/94
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FOREIGN PATENT DOCUMENTS

Examiner initial		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AL	256 540 A2	15.08.87	EP	C09D	3/49		X
	AM	678 536 A1	21.04.95	EP	C08G	18/08		X
	AN	234 362 A1	06.02.87	EP	C08G	18/08		X
	AO	234 361 A1	06.02.87	EP	C08G	18/08		X
	AP	228 003 A1	11.12.86	EP	B05D	7/16		X

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AR	English Language Abstract DE 33 24 211.
	AS	English Language Abstract
	AT	

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FOREIGN PATENT DOCUMENTS

Examiner initial		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AL							
	AM	401 565 A1	16.05.90	EP	C09D	5/02	X	
	AN	624 577 A1	13.05.94	EP	C07D	251/70	X	
	AO	2102170	08.24.01	CA	C09D	133/00	X	
	AP	082 291 B1	11.11.82	EP	C09D	3/48		X

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AR	English Language Abstract for DE3324211 A1.
	AS	
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FORM PTO-1449
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	AA							
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FOREIGN PATENT DOCUMENTS								
Examiner Initial		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AL	436 941 A1	28.12.90	EP	C09D	175/04	X	
	AM	234 395 B1	10.02.87	EP	C08G	18/06		X
	AN	227 975 A1	04.12.86	EP	C09D	5/44		X
	AO	261 385 A2	15.08.87	EP	C09D	3/49		X
	AP	28 24 418 C2	09.08.90	DE	C08G	63/20		X

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)		
	AR	English Language Abstract EP 0 436 941 A1.
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10/04/2001
RECORDED 07 FEB 2002**LIST OF ART CITED BY APPLICANT**
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Examiner initial		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AF						
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FOREIGN PATENT DOCUMENTS

Examiner initial		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AL	394 737 A1	09.04.90	EP	C09D	175/06	X	
	AM	590 484 A1	22.09.93	EP	C08G	18/08	X	
	AN	574 417 B1	01.02.92	EP	B05D	5/06		X
	AO	2078650	08.24.01	CA	C09D	133/00	X	
	AP	517 707 B1	21.01.91	EP	B05D	7/16		X

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FOREIGN PATENT DOCUMENTS								
Examiner initial		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AL	1127338	15.06.67	GB	C07c	119/04	X	
	AM	414 199 A2	21.08.90	EP	C08G	59/50		X
	AN	245 786 A2	07.05.87	EP	C09D	5/44		X
	AO	476 514 A1	12.09.91	EP	C08G	18/80		X
	AP	310 971 A2	30.09.88	EP	C09D	5/44		X
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)								
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3 Rec'd PCT/PTO 07 FEB 2002

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Examiner initial		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AA	593 454 B1	09.03.91	EP	B05D	7/26		X
	AB	89 497 A2	21.02.83	EP	C09D	3/72		X
	AC	521 928 B1	13.03.91	EP	C08F	299/06		X
	AD	522 420 A2	30.06.92	EP	C08J	3/03	X	
	AE	245 700 A2	29.04.87	EP	C07D	251/54	X	
	AF	596 460 A2	03.11.93	EP	C09D	201/00		X
	AG	424 705 A2	04.10.90	EP	C08F	283/00	X	
	AH	WO97/49745	31.12.97	EP	C08G	18/08	X	
	AI	WO97/49747	31.12.97	EP	C08G	18/75	X	
	AJ	730 613 B1	18.11.94	EP	C08F	290/14		X
	AK	33 24 211 A1	17.01.85	DE	C09D	3/48		X
	AL	522 419 A1	30.06.92	EP	C08G	18/67		X
	AM	260 447 A2	15.08.87	EP	C08F	283/10		X
	AN	649 865 B1	15.10.94	EP	C08G	18/67		X
	AO	634 431 A1	13.07.94	EP	C08G	18/12		X
	AP	354 261 A1	11.08.88	EP	C08G	18/50	X	

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EXAMINER

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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

*Applicant has modified this form by deleting the word "prior".

FORM PTO-1449
(MODIFIED)

US DEPARTMENT OF COMMERCE
PATENT AND TRADE OFFICE

Atty. Docket No. IN-5546

13 Rec'd PCT/PTO 07 FEB 2002

LIST OF ART CITED BY APPLICANT
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In re Application of: ARLT et al.

Serial No.: Herewith

Filed: Thursday, February 07, 2002

FOREIGN PATENT DOCUMENTS

Examiner initial		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AA	436 941	28.12.90	EP	C09D	175/04		X
	AB							
✓	AC	669 356 A1	22.02.95	EP	C08G	18/83		X
✓	AD	1 618 795	13.04.72	DE	C97c	119/04		X
✓	AE	531 510	23.03.92	EP	C08L	75/04	X	
✓	AF	543 817 B1	27.02.91	EP	C08G	18/08	X	
✓	AG	397 806 B1	24.07.89	EP	C08G	18/08		X
✓	AH	2104845	8.25.93	CA	C09D	161/00	X	
✓	AI	2127761	08.24.01	CA	C09D	175/04	X	
✓	AJ	2073115	08.24.01	CA	C09D	175/14	X	
✓	AK	178 531 A1	02.10.85	EP	C09D	5/44		X
✓	AN	339 433 A3	18.04.89	EP	C08G	18/08		X
✓	AO	44 14 032 A1	26.10.95	EP	C09F			X
✓	AP	1 793 785	14.12.64	DE	12o	25		X

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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